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Atty. Docket No. 06556-00903000

Serial No.: 09/973,865

JAN 25 2002

Applicant John POLK

Technology Center 2600

Filing Date October 11, 2001

Group Art Unit: 2716

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
ERC	4,823,264	4/19/1989	Deming	364	408	
	5,231,569	7/27/1993	Myatt et al.	364	408	
	5,265,007	11/23/1993	Barnhard, Jr. et al.	364	408	
	5,283,829	2/1/1994	Anderson	380	24	
	5,317,732	5/31/1994	Gerlach, Jr. et al.	707	104	
	5,369,699	11/29/1994	Page et al.	379	38	
	5,383,113	1/17/1995	Kight et al.	364	401	
	5,465,206	11/7/1995	Hilt et al.	364	401	
	5,649,117	7/15/1997	Landry	705	40	
	5,652,786	7/29/1997	Rogers	379	91.01	
ERC	5,699,528	12/16/1997	Hogan	405	40	

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 JAN 28 2002  
 Technology Center 2100
**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Trans. Yes	Trans. No

Examiner

Cosimano, E.

Date Considered:

1/26/03

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Page 2 of 2

**INFORMATION DISCLOSURE CITATION** Technology Center 2100  
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Atty Docket No. 06556.0003-03000

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Applicant: John POLK

Technology Center 2600

Filing Date October 11, 2001

Group Art Unit: 2716

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

ERC	EDI Top Twenty "Questions and Answers about Electronic Data Interchange" pamphlet published by Automated Clearing House Association, no date (6 pages).
	"ADP PC/Payroll for Windows", published by Automatic Data Processing, Inc. (10 pages), 1997.
	"New Hire Reporting", published by Automatic Data Processing, Inc., 1997, (2 pages).
	"Wage Garnishment Processing Service", published by Automatic Data Processing, Inc., 1996, (2 pages).
ERC	"Child Support Application Banking Convention: A guide for Employers and their Financial Institutions", published by Bankers EDI Council, 1966, (21 pages).
	"1996 ACH Rules", published by National Automated Clearing House Association, Corporate Edition.
	"Vans Valued-Added Networks" paper, no date, (6 pages).
	Lambert, David R., 1994-96 "Basics of EDI", Chapter 3, dated May 30, 1997 (2 pages).
ERC	Board of Governor of the Federal Reserve, "Federal Reserve Board" dated May 29, 1997, Washington, DC, (Board of Governors Federal Reserve website, 10 pages)
	Direct Payment Market Analysis, Fact Sheet Summary of 1993 PSI Industry Study, dated May 29, 1997, (4 pages).
	The National Automated Clearing House Association, ACH network link, dated May 29, 1997 (2 pages).
	Colorado Child Support Enforcement "Building a Child's Future", Employer's Guide, dated June 6, 1997, (2 pages).
	Electronic Funds Transfer, "Cmi&s U.S. Electronic Commerce", Checks by Tel/Fax (EDI, EFT) dated June 6, 1997, (2 pages).
	Electronic Commerce Jumpstation, "Electronic Commerce Resource Guide", dated May 30, 1997 (3 pages).
ERC	EC/EDI Fact Sheet, "EC/EDI: The Basics", dated June 6, 1997, (1 page).

Examiner

Cosimano, E

Date Considered:

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Atty. Docket No. 06556.0003-03000

APR 10 2002

Serial No.: 09/973,865

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APR 12 2002

Applicant John POLK

Filing Date October 11, 2001

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Technology Center 2100

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Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
ERC	5,704,029	12/30/1997	Wright, Jr.	395	149	
↓	5,666,645	09/09/1997	Thomas et al.	455	6.1	
↓	5,490,243	02/06/1996	Millman et al.	395	148	
↓	5,054,112	10/01/1991	Ike	455	41	
ERC	4,820,167	04/11/1989	Nobles et al.	434	336	

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Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Trans. Yes	Trans. No

Examiner

Cosimang, E.

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ERC	Garber, T., "EDI: A weapon in the War Against Delinquent Child Support," Today: The Journal of Work Process Improvement, October 1997, pages 18-19.
	"Child Support Agency Doesn't Kid Around with Standardized Form," Paytech, March/April 1998, page 11.
	"Standards Governing EC/EDI," Chapter 6, Website at <a href="http://net.gap.net/ch6.htm">http://net.gap.net/ch6.htm</a> , January 16, 1998.
	"ANSI X12 Standards for EDI," Website at <a href="http://www.gap.net/ansix12htm">http://www.gap.net/ansix12htm</a> , January 16, 1998.
	"EDI Architecture and Document Flow," Website at <a href="http://www.acq.osd.mil/ec/edu/edu_03.html">http://www.acq.osd.mil/ec/edu/edu_03.html</a> , January 16, 1998.
	"SIDAC, Spring 1997 Newsletter, Website at <a href="http://www.sidac.wpafb.af.mil/newsletter/spr97.html">http://www.sidac.wpafb.af.mil/newsletter/spr97.html</a> , January 16, 1998.
	Electronic Commerce and Electronic Data Interchange Handbook, Website at <a href="http://www.acq.osd.mil/newhandbook/preface/preface.htm">http://www.acq.osd.mil/newhandbook/preface/preface.htm</a> , January 16, 1998
	521 Income or Asset Offset, 521B026, May 15, 1997, pages 1-46.
	"Pay Child Support Online"; <a href="http://www.paychildsupportonline.com">www.paychildsupportonline.com</a> , printed March 8, 2002, 10 pages.
	"Automatic Data Processing Inc."; <a href="http://www.adp.com">www.adp.com</a> , printed March 8, 2002, 8 pages.
ERC	"EFT Child Support"; <a href="http://www.eftchildsupport.com">www.eftchildsupport.com</a> , undated, 45 pages.

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Atty. Docket No.	06556.0003-03	Appln. No.	09/973,865
Applicant	John POLK		
Filing Date	October 11, 2001	Group:	2161



## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
ERC	2002/0038289	03/28/2002	Lawlor et al.	705	42	
	2002/0032651	03/14/2002	Embery	705	40	
	5,235,507	08/10/1993	Sackler et al.	364	401	
	5,576,951	11/19/1996	Lockwood	395	227	
	5,761,647	06/02/1998	Boushy	705	10	
	5,878,405	03/02/1999	Grant et al.	705	39	
	5,884,283	03/16/1999	Manos	705	30	
	5,893,080	04/06/1999	McGurl et al.	340	286	
	5,900,801	05/04/1999	Heagle et al.	705	40	
	5,946,669	08/31/1999	Polk	705	40	
	6,119,107	09/12/2000	Polk	705	40	
	6,183,140	02/06/2001	Singer et al.	395	231	
	6,223,168	04/24/2001	McGurl et al.	705	40	
	6,311,170	10/30/2001	Embrey	705	40	
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ERC	WO 97/17678	05/15/1997		—	—	
ERC	WO 99/03243	01/21/1999		—	—	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

ERC	Graham et al.: "The Employer Direct Deposit Pilot of the Iowa/Nebraska EFT Project," Colo., Policy Studies Inc., June 1992, 156 pages containing printed subject matter.
ERC	Knudson et al.: "Business-to-business payments and the role of financial electronic data interchange"; Federal Reserve Bulletin, April 1994, vol. 80 no. 4, pages 269-278.
ERC	Electronic Commerce Resource Guide, Website at <a href="http://www.premenos.com/Resources/Organization">http://www.premenos.com/Resources/Organization</a> (05/30/97), 3 pages.

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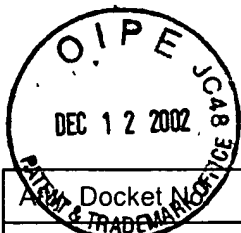
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
ERC	Basics of EDI, Chapter 3, Website at <a href="http://pages.prodigy.com/edibooks/edich31.html">http://pages.prodigy.com/edibooks/edich31.html</a> (05/30/97), David Robert Lambert 1994-96, 2 pages.
ERC	CMi&s U.S. Electronic Commerce, Website at <a href="http://www.creditworthy.com/us/providers/electronic.html">http://www.creditworthy.com/us/providers/electronic.html</a> (06/06/97), 2 pages.
ERC	Colorado Child Support Enforcement, Website at <a href="http://www.state.co.us/gov_dir/human_services_dir/CSE/cseemp.htm">http://www.state.co.us/gov_dir/human_services_dir/CSE/cseemp.htm</a> (06/06/97), 2 pages.
ERC	Direct Payment Marketing Analysis, Website at <a href="http://www.nacha.org/marketing/dpma.htm">http://www.nacha.org/marketing/dpma.htm</a> (05/29/97), 4 pages.
ERC	EC/EDI: The Basics, Website at <a href="http://www.sbaonline.sba.gov/gopher/Ecedi/Info/eced5.txt">http://www.sbaonline.sba.gov/gopher/Ecedi/Info/eced5.txt</a> (06/06/97), 1 page.
ERC	The National Automated Clearing House Association, Website at <a href="http://www.nacha.org/nacha/nacha.htm">http://www.nacha.org/nacha/nacha.htm</a> (05/29/97), 2 pages.
ERC	Vans (Value-Added Networks), Website at <a href="http://ganges.cs.tcd.ie/4ba2/edi/www_vans.html">http://ganges.cs.tcd.ie/4ba2/edi/www_vans.html</a> (05/30/97), 7 pages.

Examiner	<i>Cosimano, E.</i>	Date Considered	<i>1/26/03</i>
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
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## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

ERC	COMPLAINT SEEKING DECLARATORY JUDGEMENT UNDER TITLE 35 OF US CODE, Civil Action No. 02-CV-1321 DWF/SRN, United States District Court for the District of Minnesota, June 21, 2002 (27 pages) (ATTACHMENT A).
	DEFENDANT AND COUNTER-PLAINTIFF ACS STATE & LOCAL SOLUTIONS, INC.'S ANSWER AND COUNTERCLAIM, Civil Action No. 02-CV-1321 DWF/SRN, United States District Court for the District of Minnesota, July 31, 2002 (112 pages) (ATTACHMENT B).
	MEMORANDUM IN SUPPORT OF PLAINTIFF AND COUNTER-DEFENDANT'S MOTION TO DISMISS, Civil Action No. 02-CV-1321 DWF/SRN, United States District Court for the District of Minnesota, September 16, 2002 (27 pages) (ATTACHMENT C).
	ACS STATE & LOCAL SOLUTIONS, INC.'S OPPOSITION TO THE MOTION TO DISMISS OF PAY CHILD SUPPORT ONLINE INC AND DANIEL J. KING, Civil Action No. 02-CV-1321 DWF/SRN, United States District Court for the District of Minnesota, October 7, 2002 (76 pages) (ATTACHMENT D).
ERC	MEMORANDUM OPINION AND ORDER, Civil Action No. 02-CV-1321 DWF/SRN, United States District Court for the District of Minnesota, December 2, 2002 (8 pages) (ATTACHMENT E).

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## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

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	Graham, N.L. & Levy, M.A., "Implementing a Child Support Payment Center," Colo., Policy Studies Inc., Jan. 1991.
	Graham, N.L. & Wegner, K.R., "The Employer Direct Deposit Pilot of the Iowa/Nebraska EFT Project," Colo., Policy Studies Inc., June 1992.
	"Electronic Funds Transfer Project: Final Report," Washington State Department of Social and Health Services, Oct. 1994.
	"Child Support Application Banking Convention: A Guide for Employers & Their Financial Institutions," Bankers EDI Council, 1996.
ERC	"Child Support Application Banking Convention: A Guide for Child Support Enforcement Entities & Their Financial Institutions," Bankers EDI Council, 1997.
ERC	"Electronic transfer of funds and data [online]," May 24, 1990 [Retrieved on June 9, 2002], retrieved from the Internet at: <a href="http://www.acf.dhhs.gov/programs/cse/pol/dc19013.htm">http://www.acf.dhhs.gov/programs/cse/pol/dc19013.htm</a> .
ERC	"Electronic Funds Transfer/Electronic Data Interchange," June 15, 1993 [Retrieved on June 9, 2002], retrieved from the Internet at: <a href="http://www.acf.dhhs.gov/programs/cse/pol/dc19328.htm">http://www.acf.dhhs.gov/programs/cse/pol/dc19328.htm</a> .

Examiner	Cosimang E	Date Considered	1/26/03
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